

# High Density RF MIM Capacitors Using High- $\kappa$ AlTaO<sub>x</sub> Dielectrics

C. H. Huang<sup>a</sup>, M.Y. Yang<sup>a</sup>, Albert Chin<sup>a</sup>, Chunxiang Zhu<sup>b</sup>, M. F. Li<sup>b</sup>, and Dim-Lee Kwong<sup>c</sup>

<sup>a</sup>Dept. of Electronics Eng., National Chiao Tung Univ., Hsinchu, Taiwan

<sup>b</sup>Silicon Nano Device Lab, Dept. of Electrical and Computer Engineering, National Univ. of Singapore, Singapore, 119260

<sup>c</sup>Dept. of Electrical & Computer Eng., The Univ. of Texas, Austin, TX 78752, USA

**Abstract** — Very high capacitance density of 10 fF/ $\mu\text{m}^2$  is measured using high- $\kappa$  AlTaO<sub>x</sub> with small capacitance reduction of 5% from 10 KHz to 30 GHz, low loss tangent < 0.03, and process compatible with existing VLSI back-end integration. Small voltage dependence of capacitance < 600 ppm, mathematical derived from S-parameters, is obtained at 1 GHz, which ensures this MIM capacitor useful for high precision circuits operated at RF regime.

(5%) from 10 KHz to 30 GHz. Besides, a very small  $\Delta C/C$  < 600 ppm (quadratic voltage coefficient of only 130 ppm/V<sup>2</sup>) at RF frequency regime is obtained, using our mathematically derived  $\Delta C/C$  from measured S-parameters. This small value is close to the future (year 2013) technology requirement of ITRS roadmap [1], which ensures this AlTaO<sub>x</sub> MIM capacitor technology useful in high speed precision circuits at RF regime.

## I. INTRODUCTION

The RF MIM capacitor is widely used for impedance matching and filtering, but the total capacitor area usually consumes a large portion of the whole chip size. According to International Technology Roadmap for Semiconductors (ITRS) [1], the continuously increasing MIM capacitor density is required to reduce chip area and cost. The method to achieve higher capacitance density ( $\epsilon_0\kappa/t_d$ ) is to use high- $\kappa$  gate dielectric [2]-[6], because the decreasing dielectric thickness ( $t_d$ ) will largely increase the undesired leakage current and loss tangent. Therefore, the primary technology challenge for high density MIM capacitor is to search suitable high- $\kappa$  dielectric with good dielectric integrity when fabricated at a low process temperature  $\leq 400^\circ\text{C}$  for VLSI back-end process integration [3]-[6]. Another difficult challenge for RF MIM capacitor is to achieve small voltage dependence of capacitance ( $\Delta C/C$ ), or equivalently the quadratic voltage coefficient [1], [3] used for precision circuit application. In addition, it is highly desired to develop a method to measure the  $\Delta C/C$  at RF frequency beyond the 1 MHz limitation of conventional precision LCR meter. This is because the operation speed of precision circuits is increasing into GHz range as continuous technology evolution. Previously, we have reported a relatively high MIM capacitance density of 5 fF/ $\mu\text{m}^2$  using high- $\kappa$  Al<sub>2</sub>O<sub>3</sub> dielectric [5] and good RF performance is obtained [6]. However, the adding Ti and achieved higher  $\kappa$  and capacitance density is not useful for RF application due to fast capacitance reduction at high frequencies [6]. In this paper, we have successfully increased the capacitance density to 10 fF/ $\mu\text{m}^2$  using higher- $\kappa$  AlTaO<sub>x</sub> dielectric with good RF performance of weak capacitor reduction

## II. EXPERIMENTAL PROCEDURE

Standard 4-in p-type Si wafers were used in this study. After grown 500 nm isolation SiO<sub>2</sub> from VLSI back-end process integration, the bottom capacitor electrode and GSG coplanar transmission line [6] were fabricated on SiO<sub>2</sub>/Si substrates using deposited Pt/Ti bi-layer and patterning. Then thin Al:Ta (1:6) layer was deposited on bottom Pt/Ti transmission line, followed by subsequent oxidation and annealing at 400°C to form high- $\kappa$  AlTaO<sub>x</sub> dielectric. This 400°C low temperature is due to the VLSI backend process integration limitation. Two AlTaO<sub>x</sub> thicknesses of 16 and 21 nm are formed. The motivation of choosing AlTaO<sub>x</sub> is to use higher  $\kappa$  property of TaO<sub>x</sub> and preserves the merit of good RF MIM capacitor integrity using Al<sub>2</sub>O<sub>3</sub> dielectric [3], [5]. Finally, Al metal was deposited and patterned for both top capacitor electrode and transmission line with capacitor area of 50  $\mu\text{m} \times 50 \mu\text{m}$ . The AlTaO<sub>x</sub> MIM capacitors were characterized using HP4284A precision LCR meter from 10 KHz to 1 MHz, and the S-parameters were measured by HP8510C network analyzer from 200 MHz to 30 GHz and de-embedded from a dummy device [6]-[10]. The high frequency capacitance was extracted using a physically based equivalent circuit model reported previously [6]. The RF frequency  $\Delta C/C$  and quadratic voltage coefficient are derived mathematically from measured S-parameters and circuit theory.

## III. RESULTS AND DISCUSSION

### A. Low frequencies characteristics:

Fig.1 shows the C-V characteristics for AlTaO<sub>x</sub> MIM capacitors with two different dielectric thicknesses. High capacitance density of 10.3 and 7.2 fF/μm<sup>2</sup> are measured at 100 KHz for respective 16 and 21 nm physical thickness that gives a κ value of 18 in AlTaO<sub>x</sub> dielectric.

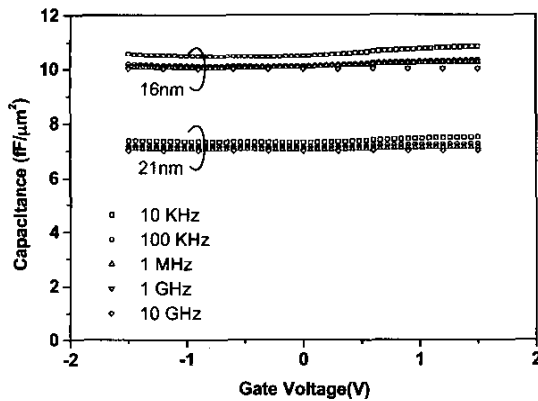


Fig. 1. C-V characteristics of the AlTaO<sub>x</sub> MIM capacitors measured at the frequencies from 10 KHz to 10 GHz. The 10 KHz to 1 MHz data is measured by precision LCR meter and the capacitance data >1 MHz is extracted from S-parameters.

Fig. 2 shows the J-V characteristics of AlTaO<sub>x</sub> MIM capacitors. The leakage current increases with decreasing the AlTaO<sub>x</sub> thickness, by trading off the increasing capacitance density. The asymmetrical J-V and slightly higher leakage current at positive voltage is due to the smaller work function of top Al than bottom Pt electrode. Electrons can be easier injected from Al electrode than larger work function Pt to give a higher leakage current. Leakage currents of  $4.4 \times 10^{-7}$  and  $3.6 \times 10^{-6}$  A/cm<sup>2</sup> are measured at -1V that is much better than the previous AlTiO<sub>x</sub> MIM capacitor [5] and suitable for RF circuit.

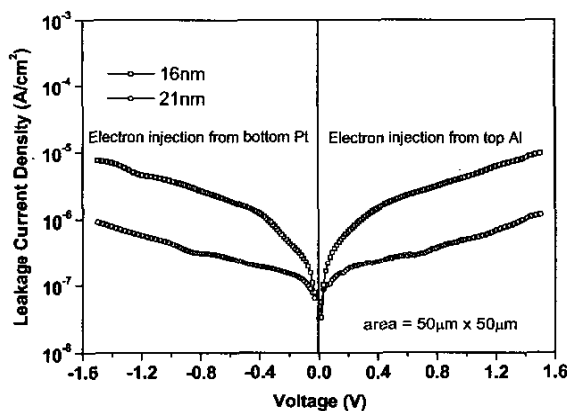


Fig. 2. J-V characteristics of AlTaO<sub>x</sub> MIM capacitors.

Figs. 3(a) and 3(b) show the  $\Delta C/C$  characteristics for AlTaO<sub>x</sub> MIM capacitors, with physical thickness of 16 and 21 nm respectively. The  $\Delta C/C$  below 1 MHz is obtained from the C-V measurement in Fig. 1. The using Al electrode (+V bias) gives poorer  $\Delta C/C$  than Pt (-V bias), which has the same trend as higher leakage current shown in Fig. 2. The  $\Delta C/C$  also becomes worse for thinner AlTaO<sub>x</sub> thickness. The decreasing  $\Delta C/C$  in Fig. 3 and capacitance value in Fig. 1 with increasing frequency suggest the mechanism may be defect-related, since the traps may not have enough speed to follow the high frequency signals [8]-[9].

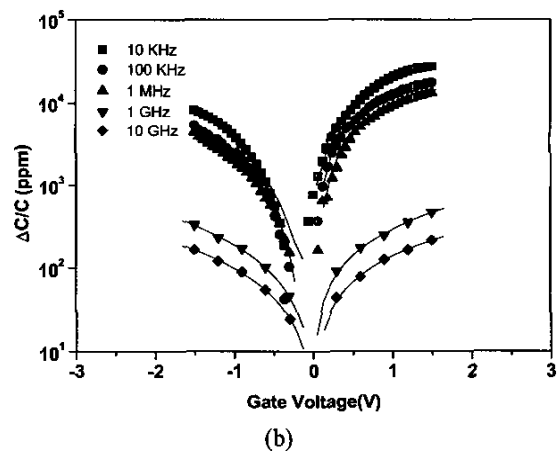
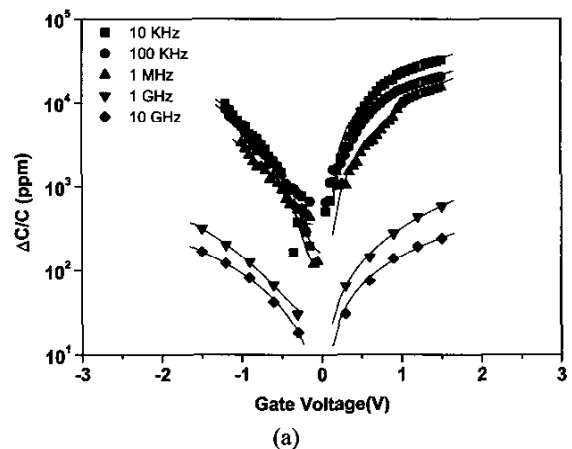


Fig. 3. Voltage dependence of capacitance  $\Delta C/C$  for the MIM AlTaO<sub>x</sub> capacitors with dielectric thickness of (a) 16 nm and (b) 21 nm. The 10 KHz to 1 MHz data is from the C-V measurement in Fig. 1, and the  $\Delta C/C$  data >1 MHz is mathematically derived from S-parameters using circuit theory in equation (1) to (3).

#### B. High frequencies characteristics:

To further study the  $\Delta C/C$  and other high frequency characteristics beyond the measurement capability of precision LCR meter, we have measured the S-parameters up to 30 GHz. Figs. 4(a) and 4(b) show the measured and modeled S-parameters for AlTaO<sub>x</sub> MIM capacitors, with 16 and 21 nm dielectric thickness respectively. The modeled S-parameter is from the physically based equivalent circuit [6] shown in Fig. 5, where  $R_s$ ,  $L_s$ ,  $R_p$ , and  $C$  are the parasitic series resistance, parasitic series inductance, parallel resistance, and the capacitor, respectively. The  $R_s$  and  $L_s$  are physically from transmission lines. Good agreement between measured and modeled S-parameters is obtained over the entire frequency range from 200 MHz to 30 GHz for AlTaO<sub>x</sub> MIM capacitors with two different dielectric thicknesses. This indicates the good accuracy of the physically based model and can be used to extract capacitance value at RF frequencies. The derived  $\Delta C/C$  at RF frequency is shown in (1), where  $Z(C)$  in (2) is the total impedance in the equivalent circuit model of Fig. 5 and  $Z_0$  is the characteristic impedance of transmission line. The RF frequency  $\Delta C/C$  in (1) is obtained by differentiating the measured  $S_{21}$  in (3), which shows the relation between  $S_{21}$  and total impedance  $Z(C)$ .

$$\frac{\Delta C}{C} = \frac{Z_0(2+Z(C)/Z_0)^2}{2R_p^2} j\omega C (R_p+1/j\omega C)^2 \Delta(S_{21}) \quad (1)$$

$$Z(C) = R_s + j\omega(L_{s1} + L_{s2}) + \frac{R_p/j\omega C}{R_p+1/j\omega C} \quad (2)$$

$$S_{21} = \frac{2}{2+Z(C)/Z_0} \quad (3)$$

The derived  $\Delta C/C$  at 1 and 10 GHz are also plotted in Figs. 3(a) and 3(b) for two different dielectric thicknesses. The  $\Delta C/C$  decreases with frequency increasing from 1 GHz to 10 GHz, which is consistent with the decreasing trend from 10 KHz to 1 MHz measured by precision LCR meter. This small  $\Delta C/C < 600$  ppm at 1 GHz or equivalent to a voltage coefficient of only 130 ppm/V<sup>2</sup> is close the future technology requirement for year 2013, according to of ITRS roadmap [1]. This excellent result indicates that the high- $\kappa$  AlTaO<sub>x</sub> can be useful for high precision MIM capacitor and circuits at RF regime.

We have further plotted the extracted capacitance in Fig. 6 from the measured and simulated C-V data and S-parameters. Capacitance reduction of 5% is obtained for AlTaO<sub>x</sub> MIM capacitor over the entire frequency range from 10 KHz to 30 GHz. The slightly larger capacitance reduction is observed at thinner AlTaO<sub>x</sub>, which may be due to slightly higher defect density with slow trap time constant at thinner thickness.

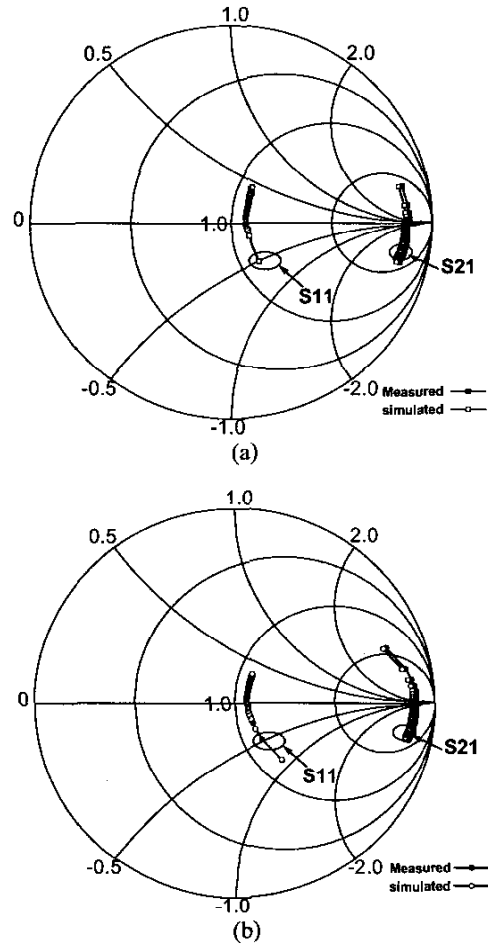


Fig. 4. The measured and simulated scattering parameters of AlTaO<sub>x</sub> MIM capacitors with the dielectric thickness of (a) 16 nm and (b) 21 nm. The measured frequency is ranged from 200 MHz to 30 GHz. Good matching between measured and modeled data indicates the good accuracy of equivalent circuit in following figure.

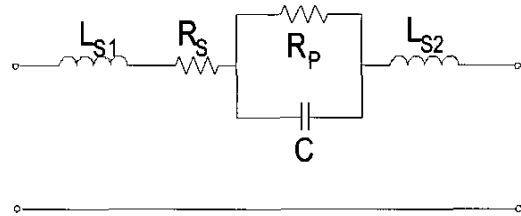


Fig. 5. The equivalent circuit model for capacitor simulation at RF regime. The  $R_s$  and  $L_s$  are the parasitic resistance and inductance from transmission lines, where the  $R_p$  and  $C$  are the parallel resistance for capacitor  $C$ .

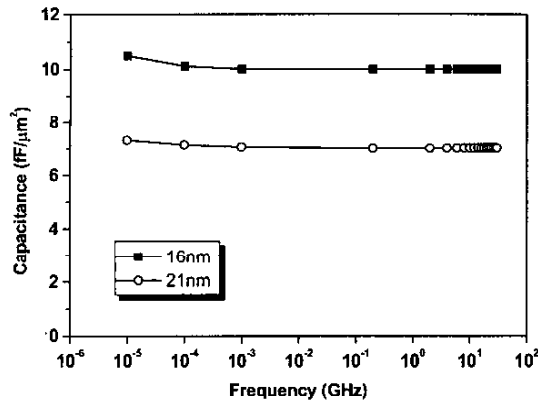


Fig. 6. The frequency-dependent capacitance for AlTaO<sub>x</sub> MIM capacitors with dielectric thickness of 16 and 21 nm. Small capacitance reduction shows the excellent device performance.

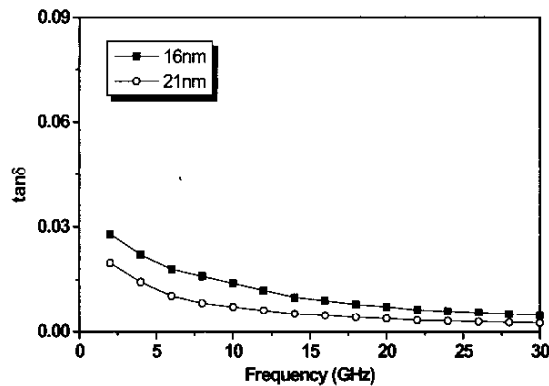


Fig. 7. The frequency-dependent loss tangent for AlTaO<sub>x</sub> MIM capacitors with thickness of 16 and 21 nm. The small loss tangent less than 0.03 suggests the good capacitor performance.

We have also plotted the loss tangent from the measured and simulated C-V and S-parameters. Fig. 7 shows the loss tangent for AlTaO<sub>x</sub> MIM capacitors. Good RF performance can be evidenced from the low loss tangent for both dielectric thicknesses. However, the loss tangent for 16 nm AlTaO<sub>x</sub> capacitor is slightly higher than that for 21 nm AlTaO<sub>x</sub> device, which is due to the larger leakage current and smaller  $R_p$ . The small capacitance reduction, high capacitance density, low loss tangent, and small  $\Delta C/C < 600$  ppm meet all the performance requirement of RF MIM capacitors.

## V. CONCLUSION

We have achieved very high capacitance density of 10 fF/μm<sup>2</sup>, small capacitance reduction of 5%, and small  $\Delta C/C < 600$  ppm at 1 GHz using high-κ AlTaO<sub>x</sub> processed at 400°C. This good MIM capacitor integrity is suitable for precision circuit application at RF frequencies.

## ACKNOWLEDGEMENT

This work has been sponsored by NSC (90-2215-E-009-044) and NSTB/EMT/TP/ 00/001.2.

## REFERENCES

- [1] International Technology Roadmap for Semiconductors, 2001 Edition, Process Integration, Devices, & Structure chapter, pp. 18-19.
- [2] A. Chin, C. C. Liao, C. H. Lu, W. J. Chen, and C. Tsai, "Device and reliability of high-κ Al<sub>2</sub>O<sub>3</sub> gate dielectric with good mobility and low D<sub>it</sub>," *Symp. on VLSI Technology Dig.*, 1999, pp. 133-134.
- [3] H. Hu, C. Zhu, X. Yu, A. Chin, M. F. Li, B. J. Cho, and D. L. Kwong, "MIM Capacitors Using Atomic-Layer-Deposited High-κ (HfO<sub>2</sub>)<sub>1-x</sub>(Al<sub>2</sub>O<sub>3</sub>)<sub>x</sub> dielectrics," *IEEE Electron Device Lett.* 24, 2003.
- [4] S. B. Chen, J. H. Chou, K. T. Chan, A. Chin, J. C. Hsieh, and J. Liu, "Frequency-dependent capacitance reduction in high-κ AlTiO<sub>x</sub> and Al<sub>2</sub>O<sub>3</sub> gate dielectrics from IF to RF frequency range," *IEEE Electron Device Lett.* 23, no. 4, pp. 203-205 (2002).
- [5] S. B. Chen, J. H. Chou, A. Chin, J. C. Hsieh, and J. Liu, "High Density MIM Capacitors Using Al<sub>2</sub>O<sub>3</sub> and AlTiO<sub>x</sub> Dielectrics," *IEEE Electron Device Lett.* 23, no. 4, pp. 185-187 (2002).
- [6] S. B. Chen, J. H. Chou, A. Chin, J. C. Hsieh, and J. Liu, "RF MIM Capacitors Using High-K Al<sub>2</sub>O<sub>3</sub> and AlTiO<sub>x</sub> Dielectrics," *IEEE MTT-S Int. Microwave Symp. Dig.*, vol. 1, pp. 201-204, June 2002.
- [7] K. T. Chan, A. Chin, C. M. Kwei, D. T. Shien, and W. J. Lin, "Transmission line noise from standard and proton-implanted Si," *IEEE MTT-S Int. Microwave Symp. Dig.*, pp. 763-766, June 2001.
- [8] K. T. Chan, A. Chin, Y. B. Chen, JuY.-D. Lin, D. T. S. Duh, and W. J. Lin, "Integrated antennas on Si, proton-implanted Si and Si-on-Quartz," *Int. Electron Devices Meetings (IEDM) Tech. Dig.*, pp. 903-906, 2001.
- [9] Y. H. Wu, A. Chin, K. H. Shih, C. C. Wu, C. P. Liao, S. C. Pai, and C. C. Chi, "RF loss and crosstalk on extremely high resistivity (10k-1MΩ-cm) Si fabricated by ion implantation," *IEEE MTT-S Int. Microwave Symp. Dig.*, 2000, pp. 221-224.
- [10] Y. H. Wu, A. Chin, C. S. Liang, and C. C. Wu, "The performance limiting factors as RF MOSFETs scale down," *IEEE RF IC Int. Microwave Symp. Dig.*, 2000, pp. 151-155.